

What is a CUSIP?

CUSIP is an acronym that refers to Committee on Uniform Security Identification Procedures and the nine-digit, alphanumeric CUSIP numbers that are used to identify securities, including municipal bonds. A CUSIP number, similar to a serial number, is assigned to each maturity of a municipal security issue. The first six characters are known as the base, or CUSIP-6, and uniquely identify the bond issuer. The 7th and 8th digit identify the exact bond issue and the 9th digit is an automatically generated “check digit.”

Using a CUSIP number is the best way to locate a bond on EMMA (<http://emma.msrb.org>). This document provides helpful tips for obtaining CUSIP numbers.

How Can I Find a CUSIP?

EMMA has a search function that can be used to locate a CUSIP. Other ways to locate a CUSIP are to find it in an official statement (available on EMMA) or by consulting an external source such as a municipal securities dealer. If an investor has purchased a particular bond, the CUSIP can be found on the confirmation of purchase and in periodic financial statements.

Searching for a CUSIP on EMMA

Searching for a CUSIP-6 or CUSIP-9 on EMMA can be done through EMMA’s “Muni Search” function or its “Advanced Muni Search” function.

The screenshot displays the EMMA website interface. At the top left is the MSRB logo (Municipal Securities Rulemaking Board). The main header reads "EMMA - Electronic Municipal Market Access" and "The Official Source for Municipal Disclosures and Market Data". A navigation menu includes "About EMMA", "Muni Search", "Market Activity", "Education Center", and "EMMA Dataport". A "Muni Search" box is highlighted with a red circle, containing a search input field labeled "Enter CUSIP or Name" and buttons for "Advanced Search" and "Search Help". Below the navigation, the "Muni Search" section features a search input field and three icons: "Advanced Search", "529 Plan Search", and "Search Help". To the right, a "Welcome to EMMA" message states that EMMA is the comprehensive source for official statements, continuing disclosure documents, advance refunding documents, and real-time trade price information. A "New Developments" box highlights "MSRB Creates Tutorial For Continuing Disclosure Submission" with a "Watch Video" link dated February 26, 2010. The bottom section is divided into three columns: "EMMA EDUCATION CENTER" with links like "Getting Started on EMMA" and "What are Bonds?"; "MARKET ACTIVITY" with links like "Trade Activity" and "Recent Official Statements"; and "DOCUMENT SUBMISSION" with links like "Primary Market Submission" and "Continuing Disclosure Submission".

To use the Muni Search function located at the top right of the screen, enter the name of the bond issuer and/or a description of the issue. A sample search is shown below.

Sample Muni Search:

Here we will search a CUSIP related to Adelanto California Agency Tax Allocation. The best way to search is to enter unique set of keywords related to the bond in question that returns a manageable number of results. As you can see, by entering the keywords “Adelanto Tax,” 23 records display making it fairly easy to find the appropriate CUSIP.

The screenshot shows the Muni Search interface. At the top, there is a search bar with the text "ADELANTO TAX" and buttons for "Search Again" and "Modify Your Search". A help box titled "Understanding your search results" provides details on search filters. Below the search bar, it indicates "Displaying 1 - 23 of 23 records." A table lists the search results with columns for Issuer Name, Issue Description, Dated Date, and Maturity Dates.

Issuer Name * ^^^^	Issue Description *	Dated Date	Maturity Dates
ADELANTO CALIF ELEM SCH DIST CMNTY FACs DIST NO 1 SPL TAX	IMPT AREA	06/29/2006	2006 to 2036
ADELANTO CALIF ELEM SCH DIST CMNTY FACs DIST SPL TAX	NO 2	02/15/2006	2008 to 2036
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PJ-MAND RED-8/1/93	05/01/1990	08/01/2020
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PJ-MAND RED-8/1/94	05/01/1990	08/01/2020
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PJ-MAND RED-8/1/95	05/01/1990	08/01/2020
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PROJ	05/01/1984	05/01/2015
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PROJ	12/01/1991	08/01/2020
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PROJ	12/01/1986	06/01/2015
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PROJ	05/01/1990	08/01/2020
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PROJ NO 3	12/19/2007	2008 to 2037
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PROJ-MAND RED-8/1/93	12/01/1991	08/01/2020
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PROJ-MAND RED-8/1/94	12/01/1991	08/01/2020
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PROJ-MAND RED-8/1/95	12/01/1991	08/01/2020
ADELANTO CALIF IMPT AGY TAX ALLOC	ADELANTO IMPT PROJ-MAND RED-8/1/96	12/01/1991	08/01/2020
ADELANTO CALIF IMPT AGY TAX ALLOC	BD ANTIC NTS	04/26/1993	2000 to 2020
ADELANTO CALIF IMPT AGY TAX ALLOC	REF	05/01/1990	Unavailable
ADELANTO CALIF IMPT AGY TAX ALLOC	REF & IMPT-SER C	12/01/1993	Unavailable
ADELANTO CALIF IMPT AGY TAX ALLOC	REF-CAP APPREC SER B	12/01/1993	Unavailable
ADELANTO CALIF IMPT AGY TAX ALLOC	REFDG	12/01/1985	06/01/2015
ADELANTO CALIF IMPT AGY TAX ALLOC	REFDG & IMPT-ADELANTO PJ-C	12/01/1993	1998 to 2029
ADELANTO CALIF IMPT AGY TAX ALLOC	REFDG-ADELANTO IMPT PJ-SER B	12/30/1993	1999 to 2009
ADELANTO CALIF IMPT AGY TAX ALLOC	REFDG-ADELANTO IMPT PJ-SER B	12/01/1993	12/01/2023
ADELANTO CALIF IMPT AGY TAX ALLOC	<no issue name>	12/01/1991	Unavailable

Entering longer strings of text such as “Adelanto California Agency Tax Allocation” may result in an unsuccessful search. The search illustrated in the next screen shot did not return results because strings of text entered in the Issuer Name field are too specific.

No results found.

After finding the issuer, you can drill down to the CUSIP level by examining issue description, dated date, and maturity date to determine which security matches what you are searching for. Select that issue description and this brings you to the issue details page, where the CUSIP is displayed.

On this page the user can access the associated official statement and continuing disclosure documents. Note that the MSRB began collecting continuing disclosure documents July 1, 2009 and documents issued prior to that date may not be available.

Issue Details

ADELANTO CALIF IMPT AGY TAX ALLOC ADELANTO IMPT PROJ NO 3 (CA)*

Dated Date: 12/19/2007
Closing Date: 12/19/2007

Maturities and issue-related documents

View all maturities of an issue and download the official statement and other documents available from EMMA for this issue. Click on a CUSIP number for security specific data, including trade price data.

Displaying 17 maturities. | [Return to Search Results](#) | [See other Issues by this Issuer](#)

CUSIP *	Maturity Date	Interest Rate (%)	Original Principal Amt (\$)	Initial Offering Price (%)	Security Description *
006795CF3	09/01/2008	4	110,000	100	ADELANTO IMPT PROJ NO 3
006795CG1	09/01/2009	4.15	55,000	100	ADELANTO IMPT PROJ NO 3
006795CH9	09/01/2010	4.25	55,000	100	ADELANTO IMPT PROJ NO 3
006795CJ5	09/01/2011	4.375	60,000	100	ADELANTO IMPT PROJ NO 3
006795CK2	09/01/2012	4.25	60,000	98.946	ADELANTO IMPT PROJ NO 3
006795CL0	09/01/2013	4.4	65,000	98.755	ADELANTO IMPT PROJ NO 3
006795CM8	09/01/2014	4.5	65,000	98.573	ADELANTO IMPT PROJ NO 3
006795CN6	09/01/2015	4.7	70,000	98.723	ADELANTO IMPT PROJ NO 3
006795CP1	09/01/2016	4.8	75,000	98.25	ADELANTO IMPT PROJ NO 3
006795CQ9	09/01/2017	5	75,000	98.484	ADELANTO IMPT PROJ NO 3
006795CR7	09/01/2018	5.1	80,000	98.375	ADELANTO IMPT PROJ NO 3
006795CS5	09/01/2019	5.2	85,000	98.274	ADELANTO IMPT PROJ NO 3
006795CT3	09/01/2020	5.3	90,000	98.181	ADELANTO IMPT PROJ NO 3
006795CU0	09/01/2021	5.4	95,000	98.095	ADELANTO IMPT PROJ NO 3
006795CV8	09/01/2022	5.5	100,000	97.532	ADELANTO IMPT PROJ NO 3
006795CV6	09/01/2027	6	585,000	99.418	ADELANTO IMPT PROJ NO 3
006795CX4	09/01/2037	6	1,835,000	97.954	ADELANTO IMPT PROJ NO 3

Official Statement

Continuing Disclosure

View the official statement, which is the most complete source of information about the securities as of the initial issue date.

Official Statement

Official statement files are large and can take several minutes to download, depending on your connection speed. Preview the cover, if available, before downloading to ensure that it is the correct document.

[Click Here to download complete set of Official Statement w/ amendment\(s\) \(4.4 MB\)](#)

NOTE: Failure to review entire official statement together with all amendments may result in incomplete understanding of your security. Files can be viewed individually below but should not be read in isolation from one another.

 Official Statement posted 12/11/2007 (2.1 MB)

 Official Statement posted 12/13/2007 (2.2 MB)

[View Document Archive](#)

*NOTE: CUSIP numbers and certain related descriptive information are provided by the American Bankers Association (ABA) and secured with copyright from the CUSIP Service Bureau.

Advanced Search

The Advanced Search feature allows an investor to search using issuer name, issue description, and/or state, as well as a range of dates for the maturity date, date of issuance, and interest/coupon rate. In order to narrow the number of results, all search categories may be used. Issuer name and issue description should be limited to a few key words (as described above) instead using a long string of text. Note that 529 college savings plans do not have CUSIP numbers, and must be searched for by selecting the corresponding state.

The screenshot shows the EMMA website interface. At the top, there is a navigation bar with 'Muni Search' highlighted. Below the navigation bar, there are three main search sections: 'Muni Search', '529 Plan Search', and 'Advanced Muni Search'. The 'Advanced Muni Search' section includes search criteria for CUSIP, Issuer Name, Issue Description/Obligor, State, and Ranges for Maturity Date, Date of Issuance, and Interest/Coupon Rate. There are also links for 'Glossary of Terms' and 'Need Help Searching?'.

Locating a CUSIP on an Official Statement

CUSIP numbers are also typically available on the first couple of pages of the official statement, which is the primary offering document. Municipal securities dealers are obligated to provide investors with a copy of the official statement or tell them that it can be obtained electronically on EMMA.

Below is an example of a second page of an official statement.

\$4,180,000
CASTAIC UNION SCHOOL DISTRICT
CERTIFICATES OF PARTICIPATION
(2010 REFUNDING)

MATURITY SCHEDULE

Add the last three digits in these columns to the CUSIP-6 for the CUSIP-9 level

CUSIP-6 Base → BASE CUSIP: 14837R

\$1,990,000 Serial Certificates

Maturity Date (September 1)	Principal Amount	Interest Rate	Yield	CUSIP ¹	Maturity Date (September 1)	Principal Amount	Interest Rate	Yield	CUSIP ¹
2011	\$ 65,000	2.000%	1.060%	AG5	2019	\$ 135,000	3.750%	3.970%	AQ3
2012	75,000	2.000	1.510	AH3	2020	150,000	3.875	4.170	AR1
2013	80,000	3.000	1.800	AJ9	2021	160,000	4.000	4.330	AS9
2014	90,000	3.000	2.100	AK6	2022	175,000	4.125	4.370	AT7
2015	100,000	3.000	2.540	AL4	2023	190,000	4.250	4.560	AU4
2016	105,000	3.000	3.000	AM2	2024	205,000	4.375	4.650	AV2
2017	115,000	3.125	3.350	AN0	2025	220,000	4.500	4.740	AW0
2018	125,000	3.500	3.720	AP5					

\$2,190,000 5.125% Term Certificates due September 1, 2033 Yield 5.280% CUSIP¹ BE9

Locating a CUSIP through External Parties

If an investor would like to locate a CUSIP but cannot find the particular CUSIP on EMMA, he or she can contact a municipal securities dealer. If the investor has purchased a bond, he or she can find the CUSIP number on the confirmation received from the dealer. MSRB Rule G-15 requires the dealer to send confirmation of a municipal trade to the investor that includes the CUSIP number, among other relevant information. Also, the CUSIP would be listed on the investor's periodic financial statements.