

(c) Public general: Describe and provide any additional information regarding your level of involvement in, or knowledge of, the municipal securities market. Regarding public member eligibility, identify whether you or your employer, if applicable, has any business relationship with brokers, dealers, municipal securities dealers (other than a brokerage account relationship) or municipal advisors, including, but not limited to, consulting or contractual relationships and referral agreements.

(d) Bank: (i) Describe the scope of your organization's municipal business and describe which areas you have been involved with. (ii) Include a summary of scale of activities including negotiated underwriting, competitive underwriting, secondary market trading, institutional and retail sales, private placements and advisory business. How important is the retail investor to your business?

(e) Broker-dealer: (i) Describe the scope of your organization's municipal business and describe which areas you have been involved with. (ii) Include a summary of scale of activities including negotiated underwriting, competitive underwriting, secondary market trading, institutional and retail sales, private placements and advisory business. How important is the retail investor to your business?

(f) Municipal advisor: (i) Describe the nature of and extent of your firm's municipal advisory business and other activities. (ii) Describe your involvement in providing municipal advisory services over the last three years.

Describe any experience you have with serving on a board of directors of either a for-profit or non-profit organization or any other experience in a leadership capacity. Please discuss any experience with service on a committee of a board of directors (read about the MSRB's committees here).

Education and Training

Professional Honors/Associations

Other Information

Why do you want to serve on the MSRB Board of Directors?

How effective has the MSRB been in protecting investors, issuers and the public interest and as a Board Member what expertise or suggestions would you offer to continue or improve the effectiveness of the MSRB.

Based on your knowledge and experience, discuss any current or emerging risks or regulatory challenges for the municipal market overall or for specific market participants and other stakeholders.

Please describe any technology advancements or developments the MSRB should focus on to ensure that the municipal market operates in a fair and efficient manner. Please also discuss any other relevant topics that you believe are important for the MSRB to consider.

The MSRB Board of Directors seeks to achieve diversity among its members. Describe ways in which you can contribute to and/or advance the Board's goal of diversity to broaden representation of the municipal securities market. You may take this opportunity to provide personal or professional information.

I understand and agree that, by applying for a position on the Board of Directors, my name will be published on the MSRB's website upon announcement of new Board members for the fiscal year beginning on October 1, 2024 and that I must provide the necessary information as requested by MSRB staff to confirm my eligibility and category for my application to be considered.

Agree Decline (If you decline, you will no longer be considered for a position.)

Board Member Service

The Board convenes in person at least quarterly at the MSRB's offices and also schedules additional meetings (virtually) in between quarterly meetings. Attendance at these meetings is required. Each member of the Board also serves on one or more standing committees, with assignments determined each fiscal year, which convene virtually periodically and may conduct in-person meetings as necessary throughout the year. Attendance at these meetings is also required. Meeting materials are generally provided for all Board and committee meetings in advance and Board members are required to review the materials in preparation for the meetings.

Agree Decline (If you decline, you will no longer be considered for a position.)

Name: