

Guide to Printing a Certificate of Current MSRB Registration

A Certificate of Current MSRB Registration (certificate) is available to satisfy requests regulated entities receive seeking written verification of their registration status.

The regulated entity's Primary Regulatory Contact, Optional Regulatory Contact and Compliance Contact have access to functionality to print the certificate in the MSRB's Gateway system.

Printing a Registration Certificate

To print the certificate of current registration, the Primary Regulatory Contact, Optional Regulatory Contact or Compliance Contact must log into MSRB Gateway. From the main menu, select the "Print Certificate" link under the "Registrant Information Forms" section. The user will see one or more links, depending on the firm's registration type(s), and once a link is selected, a printable PDF of the regulated entity's certificate will download.



If a firm is a municipal advisor, then the firm must have a Series-50-qualified municipal advisor representative in order to print a certificate. To see a list of the Series-50-qualified municipal advisor representatives at a firm, visit <u>http://www.msrb.org/MARegistrants.aspx</u>

MSRB Gateway Main Menu Welcome to MSRB Gateway! Your User Account has the following access rights:
Account and Organization Management
Manage User Accounts
View Account Administrators
Manage Groups
Manage Continuing Disclosure Confirmation Requests
Manage Consolidations
Registrant Information Forms Form A-12 Print Municipal Advisor Certificate Print Broker-Dealer Certificate Print Municipal Securities Dealer (Bank Dealer) Certificate
Agent Relationship Management
Invite and approve agent invitations to/from organizations.
Manage Agent Relationships