

Name of dealer: \_\_\_\_\_

Report period: \_\_\_\_\_

**I. CONTRIBUTIONS made to issuer officials (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of issuer official	Contributions by each contributor category ( <i>i.e.</i> , dealer, dealer controlled PAC, municipal finance professional controlled PAC, municipal finance professionals and non-MFP executive officers). For each contribution, list contribution amount and contributor category (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category ( <i>i.e.</i> , dealer, dealer controlled PAC, municipal finance professional controlled PAC, municipal finance professionals and non-MFP executive officers). For each payment, list payment amount and contributor category (For example, \$500 payment by non-MFP executive officer)
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### III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

#### A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category ( <i>i.e.</i> , dealer, dealer controlled PAC, municipal finance professional controlled PAC, municipal finance professionals and non-MFP executive officers). For each contribution, list contribution amount and contributor category (For example, \$500 contribution by non-MFP executive officer)
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#### B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each broker, dealer or municipal securities dealer, municipal finance professional or non-MFP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

**IV. ISSUERS with which dealer has engaged in municipal securities business (list by state)**

**A. Municipal Securities Business**

State	Complete name of issuer and city/county	Type of municipal securities business (negotiated underwriting, agency offering, financial advisor, or remarketing agent)
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**B. Ballot-Approved Offerings**

Full issuer name and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category (*i.e.*, dealer, dealer controlled PAC, municipal finance professional controlled PAC, municipal finance professionals and non-MFP executive officers) has made a contribution and the reportable date of selection on which the broker, dealer or municipal securities dealer was selected to engage in such municipal securities business.

Full Issuer Name	Full Issue Description	Reportable Date of Selection
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**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_  
(must be officer of dealer)

**Name:** \_\_\_\_\_

**Address:** \_\_\_\_\_  
\_\_\_\_\_

**Phone:** \_\_\_\_\_

**Submit two completed forms quarterly by due date (specified by the MSRB) to:**

**Municipal Securities Rulemaking Board**  
1900 Duke Street  
Suite 600  
Alexandria, Virginia 22314